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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission
(excluding references)

15

Application Number 10/603,889

Filing Date June 25, 2003

First Named Inventor Syed F.A. Hossainy

Group Art Unit Unassigned

Examiner Name Unassigned

Attorney Docket Number 50623.257

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Deposit Account 07-1850 Authorization	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Postage Paid Return Postcard	<input type="checkbox"/> Drawing(s) In/Formal ___ Sheets with Submission of Drawings Transmittal	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Issue Fee Transmittal with PTO-85b (in duplicate)	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Amendment Transmittal Letter (in duplicate)	<input type="checkbox"/> Request for Continued Examination Transmittal (RCE)	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Fee Transmittal Form (in duplicate)	<input type="checkbox"/> Request for Status of Application
<input type="checkbox"/> Petition for Extension of Time (___ months) (in duplicate)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input checked="" type="checkbox"/> Information Disclosure Statement (in duplicate) with Form PTO-1449 and 239 References	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Express Mail Label No.	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name Squire, Sanders & Dempsey L.L.P.
Paul J. Meyer, Jr., Reg. No. 47,791

Signature

Date

October 1, 2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: October 8, 2003

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Signature

Date

October 8, 2003

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Syed F.A. Hossainy et al.

Examiner: Unassigned

Serial No.: 10/603,889

Art Unit: Unassigned

Filed: June 25, 2003

Title: Fluid Treatment Of A Polymeric Coating On An Implantable Medical Device

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. §§1.97-1.98**

Dear Examiner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the references listed on the attached Form PTO-1449. One copy of each cited reference is submitted herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute the listed documents as prior art during examination. Furthermore, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.


The present Information Disclosure Statement is being filed before receiving the first Office Action. Therefore, no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R.

§1.17(p) is required. However, the Commissioner is authorized to charge any deficiencies or other amounts due to Deposit Account No. 07-1850.

Date: 10/7/03

SQUIRE, SANDERS & DEMPSEY L.L.P.
One Maritime Plaza, Suite 300
San Francisco, CA 94111
Telephone (415) 954-0200
Facsimile (415) 393-9887

Respectfully submitted,

A handwritten signature in black ink, appearing to be 'PJM', written over a horizontal line.

Paul J. Meyer, Jr.
Attorney for Applicants
Reg. No. 47,791

FORM PTO-1449 (Modified) Approved for use through 10/31/2002		US DEPARTMENT OF COMMERCE US Patent and Trademark Office		Docket No. 50623.257		Application No. 10/603,889	
INFORMATION DISCLOSURE CITATION in an Application <small>(Use several sheets if necessary)</small>				Applicant Syed F.A. Hossainy		Filing Date June 25, 2003	
Patent and Trademark Office OCT 10 2003				Group Art Unit Unassigned			
U.S. PATENT DOCUMENTS							
Examiner Initial	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate	
	A1	4,329,383	Joh	428	36		
	A2	4,733,665	Palmaz	128	343		
	A3	4,800,882	Gianturco	128	343		
	A4	4,882,168	Casey et al.	424	468		
	A5	4,886,062	Wiktor	128	343		
	A6	4,941,870	Okada et al.	600	36		
	A7	4,977,901	Ofstead	128	772		
	A8	5,112,457	Marchant	204	165		
	A9	5,165,919	Sasaki et al.	424	488		
	A10	5,272,012	Opolski	428	423.1		
	A11	5,292,516	Viegas et al.	424	423		
	A12	5,298,260	Viegas et al.	424	486		
	A13	5,300,295	Viegas et al.	424	427		
	A14	5,306,501	Viegas et al.	424	423		
	A15	5,328,471	Slepian	604	101		
	A16	5,330,768	Park et al.	424	501		
	A17	5,380,299	Fearnot et al.	604	265		
	A18	5,417,981	Endo et al.	424	486		
	A19	5,447,724	Helmus et al.	424	426		
	A20	5,455,040	Marchant	424	426		
	A21	5,462,990	Hubbell et al.	525	54.1		
	A22	5,464,650	Berg et al.	427	2.30		
	A23	5,569,463	Helmus et al.	424	426		
EXAMINER			DATE CONSIDERED				
EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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U.S. PATENT DOCUMENTS							
Examiner Initial	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate	
A24	5,578,073	11/26/96	Haimovich et al.	623	1		
A25	5,605,696	2/25/97	Eury et al.	424	423		
A26	5,609,629	3/11/97	Fearnott et al.	623	1		
A27	5,624,411	4/29/97	Tuch	604	265		
A28	5,628,730	5/13/97	Shapland et al.	604	21		
A29	5,649,977	7/22/97	Campbell	623	1		
A30	5,658,995	8/19/97	Kohn et al.	525	432		
A31	5,667,767	9/16/97	Greff et al.	424	9.411		
A32	5,670,558	9/23/97	Onishi et al.	523	112		
A33	5,679,400	10/21/97	Tuch	427	2.14		
A34	5,700,286	12/23/97	Tartaglia et al.	623	1		
A35	5,702,754	12/30/97	Zhong	427	2.12		
A36	5,716,981	2/10/98	Hunter et al.	514	449		
A37	5,735,897	4/7/98	Buirge	623	12		
A38	5,746,998	5/5/98	Torchilin et al.	424	9.4		
A39	5,776,184	7/7/98	Tuch	623	1		
A40	5,788,979	8/4/98	Alt et al.	424	426		
A41	5,800,392	9/1/98	Racchini	604	96		
A42	5,820,917	10/13/98	Tuch	427	2.1		
A43	5,824,048	10/20/98	Tuch	623	1		
A44	5,824,049	10/20/98	Ragheb et al.	623	1		
A45	5,830,178	11/3/98	Jones et al.	604	49		

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U.S. PATENT DOCUMENTS							
Examiner Initial	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate	
	A46	5,837,008	Berg et al.	623	1		
	A47	5,837,313	Ding et al.	427	2.21		
	A48	5,851,508	Greff et al.	424	9.411		
	A49	5,858,746	Hubbell et al.	435	177		
	A50	5,865,814	Tuch	604	265		
	A51	5,869,127	Zhong	427	2.12		
	A52	5,873,904	Ragheb et al.	623	1		
	A53	5,876,433	Lunn	623	1		
	A54	5,877,224	Brocchini et al.	514	772.2		
	A55	5,925,720	Kataoka et al.	525	523		
	A56	5,955,509	Webber et al.	514	772.7		
	A57	5,971,954	Conway et al.	604	96		
	A58	5,980,928	Terry	424	427		
	A59	5,980,972	Ding	427	2.24		
	A60	5,997,517	Whitbourne	604	265		
	A61	6,010,530	Goicoechea	623	1		
	A62	6,015,541	Greff et al.	424	1.25		
	A63	6,033,582	Lee et al.	216	37		
	A64	6,042,875	Ding et al.	427	2.24		
	A65	6,051,648	Rhee et al.	525	54.1		
	A66	6,051,576	Ashton et al.	514	255		
	A67	6,056,993	Leidner et al.	427	2.25		
	A68	6,060,451	DiMaio et al.	514	13		

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FORM PTO-1449 (Modified) Approved for use through 10/31/2002 <div style="border: 1px solid black; border-radius: 50%; padding: 10px; width: 100px; text-align: center; margin: 10px auto;"> OCT 10 2003 PATENT & TRADEMARK OFFICE </div>			US DEPARTMENT OF COMMERCE US Patent and Trademark Office			Docket No. 50623.257		Application No. 10/603,889	
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U.S. PATENT DOCUMENTS									
Examiner Initial	Patent No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate		
	A69	6,060,518	5/9/00	Kabanov et al.	514	781			
	A70	6,080,488	6/27/00	Hostettler et al.	428	423.3			
	A71	6,096,070	8/1/00	Ragheb et al.	623	1			
	A72	6,099,562	8/8/00	Ding et al.	623	1.46			
	A73	6,110,188	8/29/00	Narciso, Jr.	606	153			
	A74	6,110,483	8/29/00	Whitbourne et al.	424	423			
	A75	6,113,629	9/5/00	Ken	623	1.1			
	A76	6,120,536	9/19/00	Ding et al.	623	1.43			
	A77	6,120,904	9/19/00	Hostettler et al.	428	423.3			
	A78	6,121,027	9/19/00	Clapper et al.	435	180			
	A79	6,129,761	10/10/00	Hubbell	623	11			
	A80	6,153,252	11/28/00	Hossainy et al.	427	2.3			
	A81	6,165,212	12/26/00	Dereume et al.	623	1.13			
	A82	6,203,551	3/20/01	Wu	606	108			
	A83	6,214,901	4/10/01	Chudzik et al.	523	113			
	A84	6,231,600	5/15/01	Zhong	623	1.42			
	A85	6,240,616	6/5/01	Yan	29	527.2			
	A86	6,245,753	6/12/01	Byun et al.	514	56			
	A87	6,251,136	6/26/01	Guruwaiya et al.	623	1.46			
	A88	6,254,632	7/3/01	Wu et al.	623	1.15			
	A89	6,258,121	7/10/01	Yang et al.	623	1.46			
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U.S. PATENT DOCUMENTS							
Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate
	A90	6,283,947	9/4/01	Mirzaee	604	264	
	A91	6,283,949	9/4/01	Roorda	604	288.02	
	A92	6,284,305	9/4/01	Ding et al.	427	2.28	
	A93	6,287,628	9/11/01	Hossainy et al.	427	2.3	
	A94	6,299,604	10/9/01	Ragheb et al.	604	265	
	A95	6,306,176	10/23/01	Whitbourne	623	23.59	
	A96	6,331,313	12/18/01	Wong et al.	424	427	
	A97	6,335,029	1/1/02	Kamath et al.	424	423	
	A98	6,346,110	2/12/02	Wu	606	108	
	A99	6,358,556	3/19/02	Ding et al.	427	2.24	
	A100	6,379,381	4/30/02	Hossainy et al.	623	1.42	
	A101	6,387,124	5/14/02	Buscemi et al.	623	1.42	
	A102	6,395,326	5/28/02	Castro et al.	427	2.24	
	A103	6,419,692	7/16/02	Yang et al.	623	1.15	2/3/99
	A104	6,451,373	9/17/02	Hossainy et al.	427	2.25	8/4/00
	A105	6,494,862	12/17/02	Ray et al.	604	96.01	12/30/99
	A106	6,503,556	1/7/03	Harish et al.	427	2.24	12/28/00
	A107	6,503,954	1/7/03	Bhat et al.	514	772.2	7/21/00
	A108	6,506,437	1/14/03	Harish et al.	427	2.25	10/17/00
	A109	6,527,801	3/4/03	Dutta	623	1.46	4/13/00

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 PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS							
Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate
	A110	6,527,863	3/4/03	Pacetti et al.	118	500	6/29/01
	A111	6,540,776	4/1/03	Sanders Millare et al.	623	1.15	12/28/00
	A112	6,544,223	4/8/03	Kokish	604	103.01	1/5/01
	A113	6,544,543	4/8/03	Mandrusov et al.	424	422	12/27/00
	A114	6,544,582	4/8/03	Yoe	427	2.24	1/5/01
	A115	6,555,157	4/29/03	Hossainy	427	2.24	7/25/00
	A116	6,558,733	5/6/03	Hossainy et al.	427	2.24	10/26/00
	A117	6,565,659	5/20/03	*Pacetti et al.	118	500	6/28/01
	A118	6,572,644	6/3/03	Moein	623	1.11	6/27/01
	A119	6,585,765	7/1/03	Hossainy et al.	623	1.45	6/29/00
	A120	6,585,926	7/1/03	Mirzaee	264	400	8/31/00
	A121	6,605,154	8/12/03	Villareal	118	500	5/31/01

U.S. PATENT APPLICATION PUBLICATION DOCUMENTS							
Examiner Initial	Ref. No.	Document Number	Date of Publication	Name	Class	Subclass	Filing Date if Appropriate
	A122	2001/0018469	8/30/01	Chen et al.	523	121	
	A123	2001/0037145	11/1/01	Guruwaiya et al.	623	1.15	
	A124	2002/0077693	6/20/02	Barclay et al.	623	1.13	
	A125	2002/0091433	7/11/02	Ding et al.	623	1.2	12/17/01
	A126	2002/0155212	10/24/02	Hossainy	427	2.25	4/24/01
	A127	2003/0065377	4/3/03	Davila et al.	623	1.13	4/30/02
	A128	2003/0099712	5/29/03	Jayaraman	424	486	11/26/01

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FOREIGN PATENT DOCUMENTS								
Examiner Initial	Ref. No.	Document Number	Date of Publication	Country	Class	Subclass	Translation	
							Yes	No
	B1	EP 0 301 856	2/1/89	European				
	B2	EP 0 514 406	11/25/92	European				
	B3	EP 0 604 022	6/29/94	European				
	B4	EP 0 623 354	11/9/94	European				
	B5	EP 0 665 023	8/2/95	European				
	B6	EP 0 701 802	3/20/96	European				
	B7	EP 0 716 836	6/19/96	European				
	B8	EP 0 809 999	12/3/97	European				
	B9	EP 0 832 655	4/1/98	European				
	B10	EP 0 850 651	7/1/98	European				
	B11	EP 0 879 595	11/25/98	European				
	B12	EP 0 910 584	4/28/99	European				
	B13	EP 0 923 953	6/23/99	European				
	B14	EP 0 953 320	11/3/99	European				
	B15	EP 0 970 711	1/12/00	European				
	B16	EP 0 982 041	3/1/00	European				
	B17	EP 1 273 314	1/8/03	European				
	B18	2001-190687	7/17/01	Japan (Abstract)			X	
	B19	WO 91/12846	9/5/91	PCT				
	B20	WO 95/10989	4/27/95	PCT				
	B21	WO 96/40174	12/19/96	PCT				
	B22	WO 97/10011	3/20/97	PCT				

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	B23	WO 97/45105	12/4/97	PCT				
	B24	WO 97/46590	12/11/97	PCT				
	B25	WO 98/17331	4/30/98	PCT				
	B26	WO 98/36784	8/27/98	PCT				
	B27	WO 99/01118	1/14/99	PCT				
	B28	WO 99/38546	8/5/99	PCT				
	B29	WO 99/63981	12/16/99	PCT				
	B30	WO 00/02599	1/20/00	PCT				
	B31	WO 00/12147	3/9/00	PCT				
	B32	WO 00/18446	4/6/00	PCT				
	B33	WO 00/32238	6/8/00	PCT				
	B34	WO 00/64506	11/2/00	PCT				
	B35	WO 01/01890	1/11/01	PCT				
	B36	WO 01/15751	3/8/01	PCT				
	B37	WO 01/17577	3/15/01	PCT				
	B38	WO 01/45763	6/28/01	PCT				
	B39	WO 01/49338	7/12/01	PCT				
	B40	WO 01/74414	10/11/01	PCT				
	B41	WO 02/03890	1/17/02	PCT				
	B42	WO 02/056790	7/25/02	PCT				
	B43	WO 02/026162	4/4/02	PCT				

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Examiner Initial	Ref. No.	Document Number	Date of Publication	Country	Class	Subclass	Translation	
							Yes	No
	B44	WO 02/34311	5/2/02	PCT				
	B45	WO 03/000308	1/3/03	PCT				
	B46	WO 03/022323	3/20/03	PCT				
	B47	WO 03/028780	4/10/03	PCT				
	B48	WO 03/037223	5/8/03	PCT				
	B49	WO 03/039612	5/15/03	PCT				
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	C3	Anonymous, <i>Appendix I – Glass Transition Temperature (T_g)</i> (2 pages).						
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	C10	Anonymous, <i>Measuring and Understanding T_g (Glass Transition Temperature)</i> , Arlon, Application Notes (4 pages).						
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FORM PTO-1449 (Modified) Approved for use through 10/31/2002 US DEPARTMENT OF COMMERCE US Patent and Trademark Office		Docket No. 50623.257	Application No. 10/603,889
INFORMATION DISCLOSURE CITATION in an Application (Use several sheets if necessary)		Applicant Syed F.A. Hossainy	
		Filing Date June 25, 2003	Group Art Unit Unassigned
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